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CECO AT THE CENTER: CRITICAL CONVERSATIONS AT A TIME OF CRISIS AND CHANGE

TONY CHARLES, CHIEF CLIENT OFFICER, STEELE
DREW NORTHERN, DIRECTOR, GLOBAL ANTI-CORRUPTION, COOK MEDICAL CENTER
**ZEKE WARD**, FOUNDER AND MANAGING DIRECTOR, NORTHSTAR COMPLIANCE
CAROLE SWITZER, CO-FOUNDER AND PRESIDENT, OCEG

Housekeeping

- Download slides at https://go.oceg.org/ceco-at-the-center-critical-conversations-at-a-time-of-crisis-and-change

- Answer all 3 polls

- Certificates of completion (only for OCEG All Access Pass holders)

- Evaluation survey at the close of the webinar

- Find the recording on the OCEG site at https://go.oceg.org/webinar-recordings

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Learning Objectives

- Define the CECO role in integrating and maturing GRC

- Assess the impact of crisis on GRC strategic planning

- Outline key tips for improvement in people process and technology
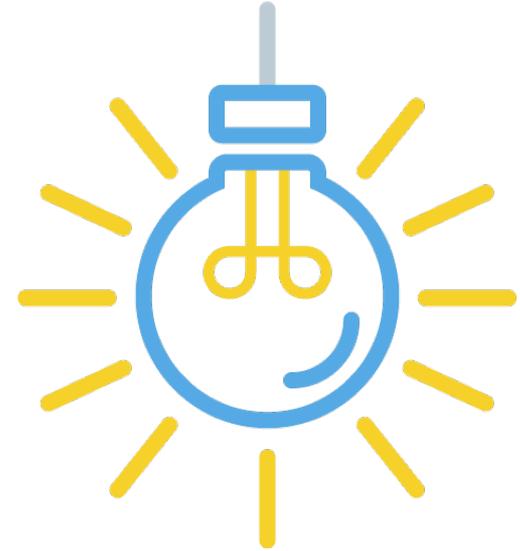
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Poll 1

Do you have an OCEG All Access Pass (a paid membership) and would you like to receive CPE credit for this event?

a. Yes, I have an All Access Pass, and I would like to receive a Certificate of Completion for this event

b. Yes, I have an All Access Pass, but I do not need a Certificate of Completion

c. No, I do not have an All Access Pass, but I would like to get one and receive CPE credit for this and future webcasts I attend

d. No, I do not have an All Access Pass and I don't want to buy one at this time (so I won't get CPE credit for this event)

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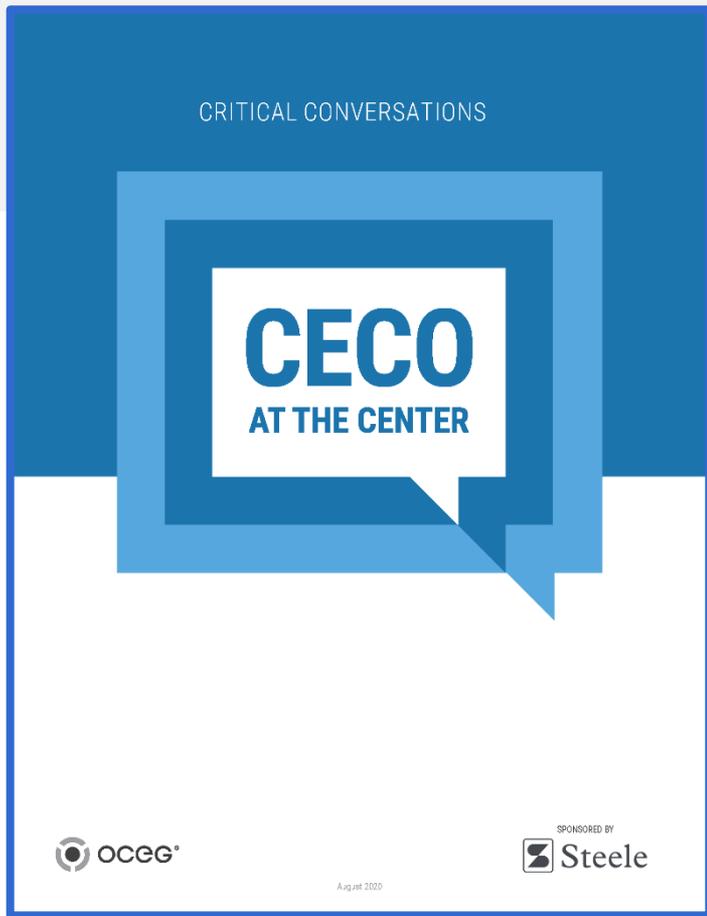
Addressing how a CECO should discuss the benefits of a mature GRC strategy with other executives, to enhance confidence in compliance and risk outcomes, increase agility and develop resiliency.

Our Speakers Today

Zeke Ward
Founder
Northstar
Compliance
(former CCO of
Yara and Volvo)

Tony Charles
Chief Client Officer
Steele

Drew Northern
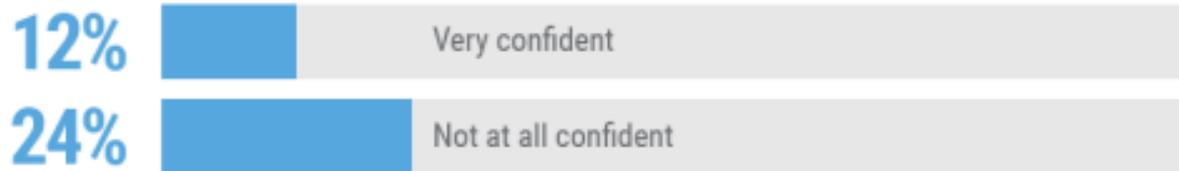Director
Global Anti-Corruption
Cook Medical

Carole Switzer
Co-Founder & President
OCEG

Research shows the need for integrated GRC capabilities

How confident are you that your organization has selected and is effectively implementing the right management activities and controls to address your risks and requirements?

Siloed or Somewhat Standardized GRC:

12% — Very confident

24% — Not at all confident

Fully or Substantially Integrated GRC:

76% — Very confident

0% — Not at all confident

Crisis Readiness is better with Integrated GRC

How confident are you in your organizations crisis planning to date?

Siloed or Somewhat Standardized GRC:

14% Very confident

23% Not at all confident

Fully or Substantially Integrated GRC:

57% Very confident

6% Not at all confident

How confident are you in your organizations readiness to address another crisis within 24 months?

Siloed or Somewhat Standardized GRC:

6% Very confident

Fully or Substantially Integrated GRC:

64% Very confident
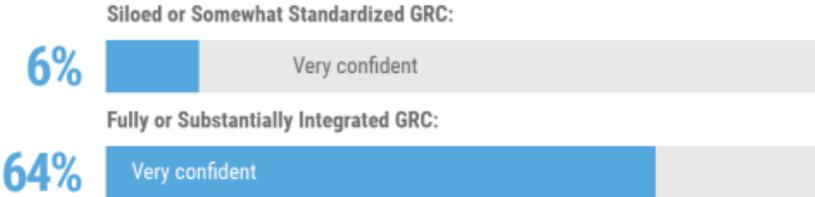
CRITICAL CONVERSATIONS:
CECO AT THE CENTER OF GRC LEADERSHIP

BOARD

CEO

CFO

CAE

CIO

CRO

GC/CLO

HR

OPERATIONAL EXECUTIVES

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Conversations with Operational Execs

- **Goals:**
  - More efficiently and effectively identify critical risk and compliance issues in each operation
  - Standardize the approach to GRC to increase value and reduce burden
  - Break down silos through common methods, vocabularies and technologies

- How do you get operational executives and managers excited about improving GRC?

- Does the current pandemic crisis change the way you discuss GRC?

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Conversations with the Board

- ## Goals:
  - Improving transparency into top/strategic risks and compliance concerns
  - Gaining confidence, agility and resiliency
  - Protecting against adverse effects of risk and noncompliance

- Is the Board asking CECOs to address these goals?
- How have your reports to the Board or board oversight committee evolved?
- How do you demonstrate the ways that improving GRC will help to meet the desired outcomes?

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Conversations with the CEO and CFO

- **Goals:**
  - Getting complete and reliable information and recommendations
  - Improving ability to make well-reasoned, risk-informed decisions
  - Managing risk and resources to improve financial performance

- How do you start this conversation?

- How do you demonstrate value of GRC efforts for corporate planning?

- What specific "asks" should you have?

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Poll 2

Are you involved in projects to improve GRC?

a. Yes, as a project leader enterprise-wide

b. Yes, as a project leader within a department or unit

c. Yes, as a project team member

d. No, not directly

Conversations with the CAE

- Needs:
  - Taking a risk-based approach to audit
  - Assessing design and effectiveness of GRC processes, metrics and systems
  - Providing an advisory role on emerging risks

- How do "politics" and personality come into play?

- How do you make the CAE an ally in GRC improvement?

Conversations with the CRO

- ## Needs:
  - Creating a culture of risk-based, objectives-driven compliance

  - Ensuring a common framework of regulations, policies, risks and controls

  - Knowing the impact of business changes on risk and compliance

- What are the strengths of the CRO you can draw on?

- How do you work together to mature GRC in your organization?

Poll 3

Does your organization have plans to upgrade or add technologies that support GRC?

a.   Yes, in the next 6-18 months

b.   Yes, but in the longer term

c.   Not at this time

d.   I don't know

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Conversations with the CIO

- ## Needs:
  - GRC information architecture
  - GRC technology architecture
  - Managing impacts of the digital enterprise, big data and cloud

- How can you demonstrate your needs while being mindful of their needs?

- How do you make IT a partner and get the attention you need from an already busy IT team?

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Questions?